

THE INSTITUTE FOR INNOVATION & IMPLEMENTATION

Integrating Systems • Improving Outcomes

The Bridge Between System of Care and Medicaid Managed Care: Looking at the Value Proposition

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Definition of a System of Care

A system of care incorporates a broad, flexible array of effective services and supports for a defined population that is organized into a coordinated network, integrates care planning and management across multiple levels, is culturally and linguistically competent, builds meaningful partnerships with families and youth at service delivery, management, and policy levels, has supportive policy and management infrastructure, and is data-driven.

Pires, S. (2010). *Building systems of care: A primer, 2nd Edition*. Washington, D.C.: Human Service Collaborative for Georgetown University National Technical Assistance Center for Children's Mental Health.

System of Care Values and Principles

**YOUTH GUIDED & FAMILY DRIVEN
COMMUNITY BASED
CULTURALLY/LINGUISTICALLY COMPETENT**

Strength Based

Family Involvement

Individualized

Unconditional Care

Collaborative

Home, School & Community
Based

Promoting Independence

Cost Effective

Team Based

Comprehensive



A Word About Language

Children, youth, young adults

Not clients, case, consumers

Parents, caregivers

Not Mom and Dad

Treatment Interventions

Not placement

Engagement

Not motivated

Transition

Close, terminate

Missing

Runaway



Milestones in Evolution of Systems of Care

2013 SAMHSA Behavioral Health Disparity Impact Statements - *required of SOC Expansion and other grantees*

2013 FREDLA – *family-run organizations*

2011 SAMHSA SOC Expansion grants

2010 CMS CHIPRA Quality grants – *fidelity Wraparound through Care Management Entities*

2010 Health Reform - *system of care principles in health care*

2003 Children's Bureau - *child welfare system of care grants*

2003 YouthMove – *youth movement*

2002 President Bush's New Freedom MH Commission - *children's recommendations*

1997 Robert Wood Johnson Foundation Mental Health Services Program for Youth – *introduction of managed care approaches to SOC*

1993 President Clinton's Health Care Reform Task Force - *children's plan*

1992 Annie E Casey Foundation Urban Mental Health Initiative

1992 SAMHSA CMHI - *services and supports*

1989 Federation of Families –*family movement*

1984 CASSP – *interagency coordination*

1982 Unclaimed Children



In Nearly all Reports Advocating Systems Changes:

Most children in need weren't getting mental health services

Those served were often in excessively restrictive settings

Services were limited to outpatient, inpatient, and residential treatment, few if any intermediate, community-based options were available.

The various child-serving systems sharing responsibility for children with mental health problems rarely work together

Families typically were blamed and weren't involved as partners in their child's care

Agencies and systems rarely considered or addressed cultural differences in populations they served.

Stroul, B. and Blau, G. **The System of Care Handbook**, Paul H. Brooks Publishing, Baltimore, MD 2008.

Historic/Current Systems Problems

Lack of home and
community-based
services and
supports

Deficit-
based/medical
models, limited
types of
interventions

Patterns of
utilization;
racial/ethnic
disproportionality
and disparities

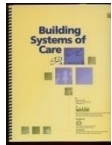
Poor outcomes

Cost

Rigid financing
structures

Administrative
inefficiencies;
fragmentation

Knowledge, skills
and attitudes of
key stakeholders

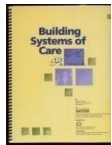


Process

How system builders conduct themselves

Structure

What gets built (i.e., how functions are organized)

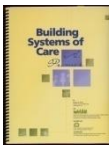


Effective System-Building Process

Leadership & Constituency Building

A Strategic Focus

Orientation to Sustainability

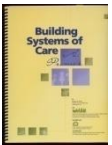


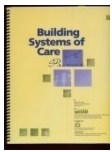
Characteristics of Systems of Care as Systems Reform Initiatives

FROM

TO

Fragmented service delivery	→	Coordinated service delivery
Categorical programs/funding	→	Blended resources
Limited services	→	Comprehensive service array
Reactive, crisis-oriented	→	Focus on prevention/early intervention
Focus on “deep end,” restrictive	→	Least restrictive settings
Children/youth out-of-home	→	Children/youth within families
Centralized authority	→	Community-based ownership
Foster “dependency”	→	Build on strengths and resiliency





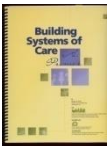
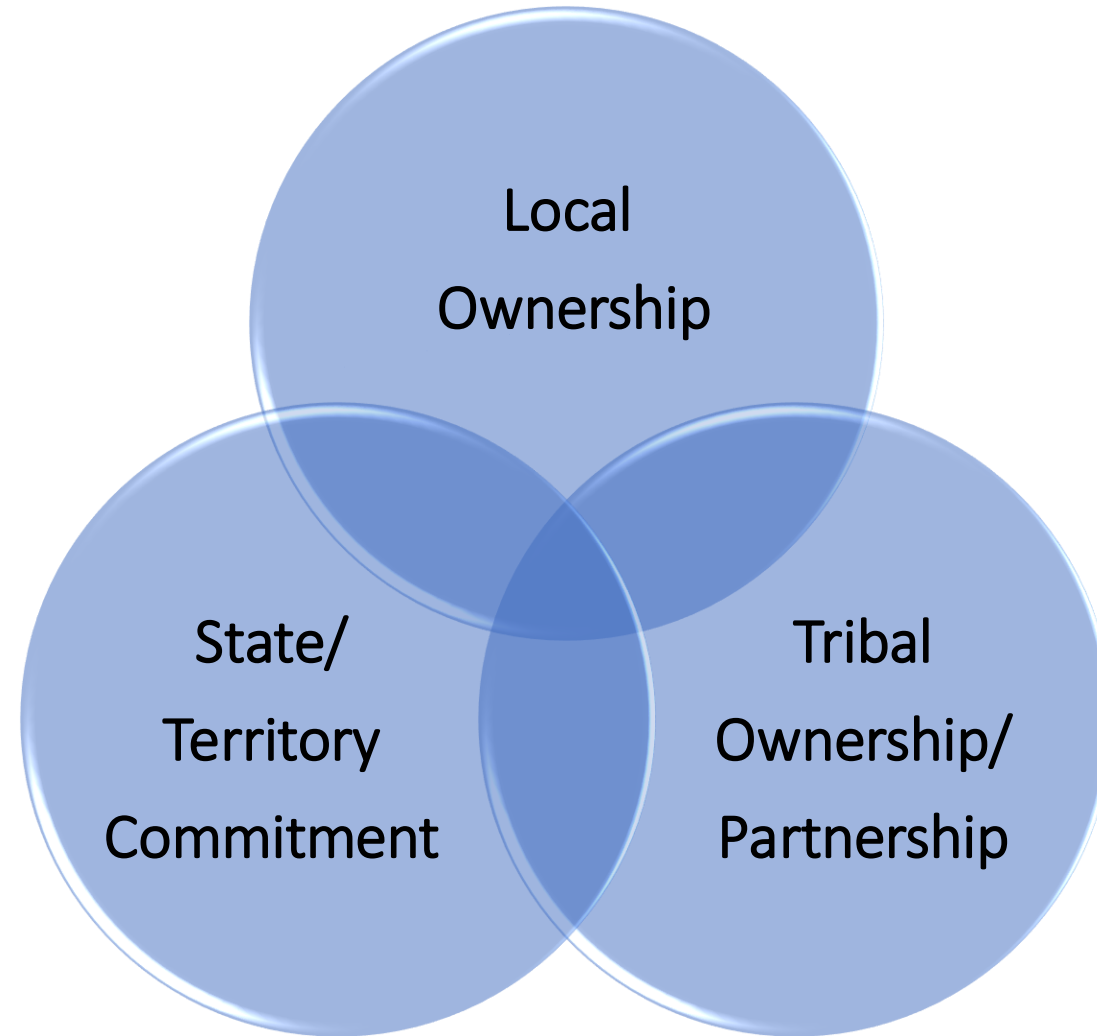
Frontline Practice Shifts

Control by professionals (<i>I am in charge</i>)	→	Partnerships with families/youth (<i>acknowledging a power imbalance</i>)
Only professional services	→	Partnership between natural and professional supports/services
Multiple case managers	→	One care coordinator
Multiple service plans (meeting needs of agencies)	→	Single, individualized child and family plan (meeting needs of family and youth)
Family/youth blaming	→	Family/youth partnerships
Deficits focused	→	Strengths focused
Mono Cultural	→	Cultural/linguistic competence

System Change/Transformation Focus



Ownership, Partnership, Commitment





System of care is, first and foremost,

a set of values and principles that provides an organizing framework for systems reform on behalf of children, youth and families.

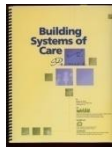
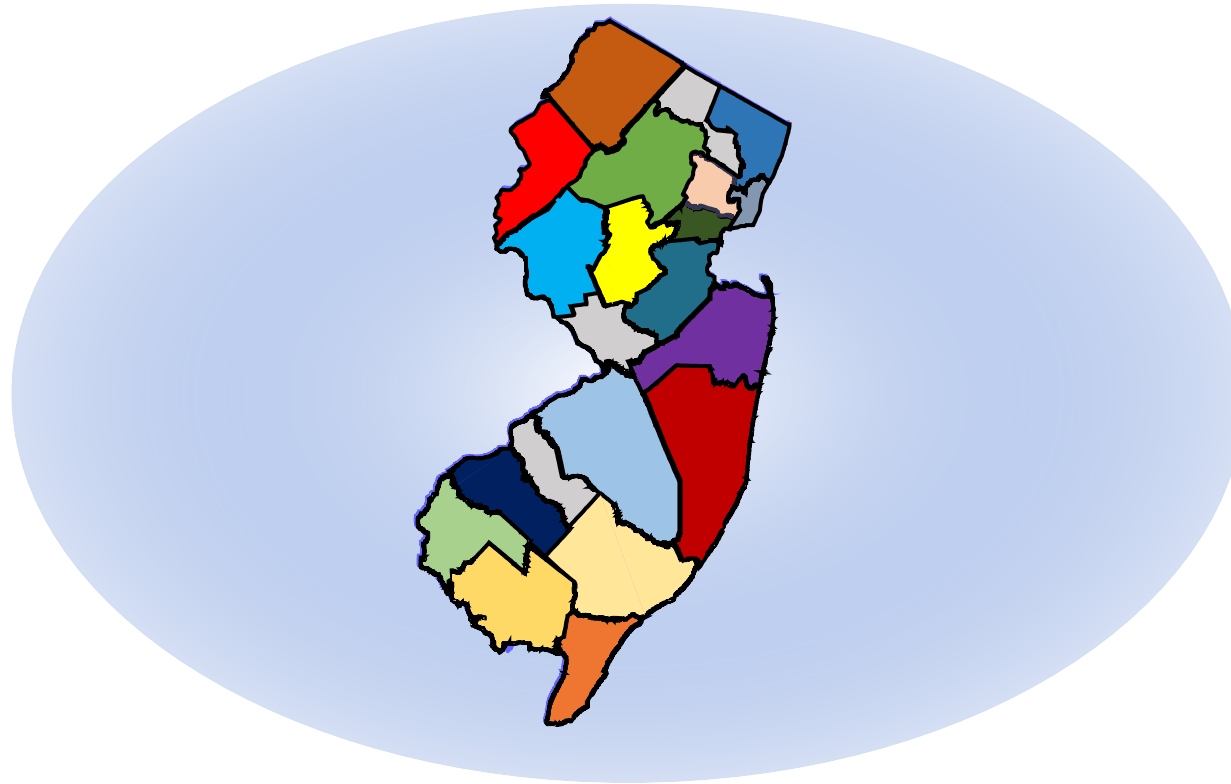


- **Family-driven and youth-guided**
- **Home and community based**
- **Strengths-based and individualized**
- **Coordinated across providers and systems**
- **Trauma-informed**
- **Commitment to health equity through cultural and linguistic competency**
- **Connected to natural helping networks**
- **Resiliency-and recovery-oriented**
- **Data-driven, quality and outcomes oriented**

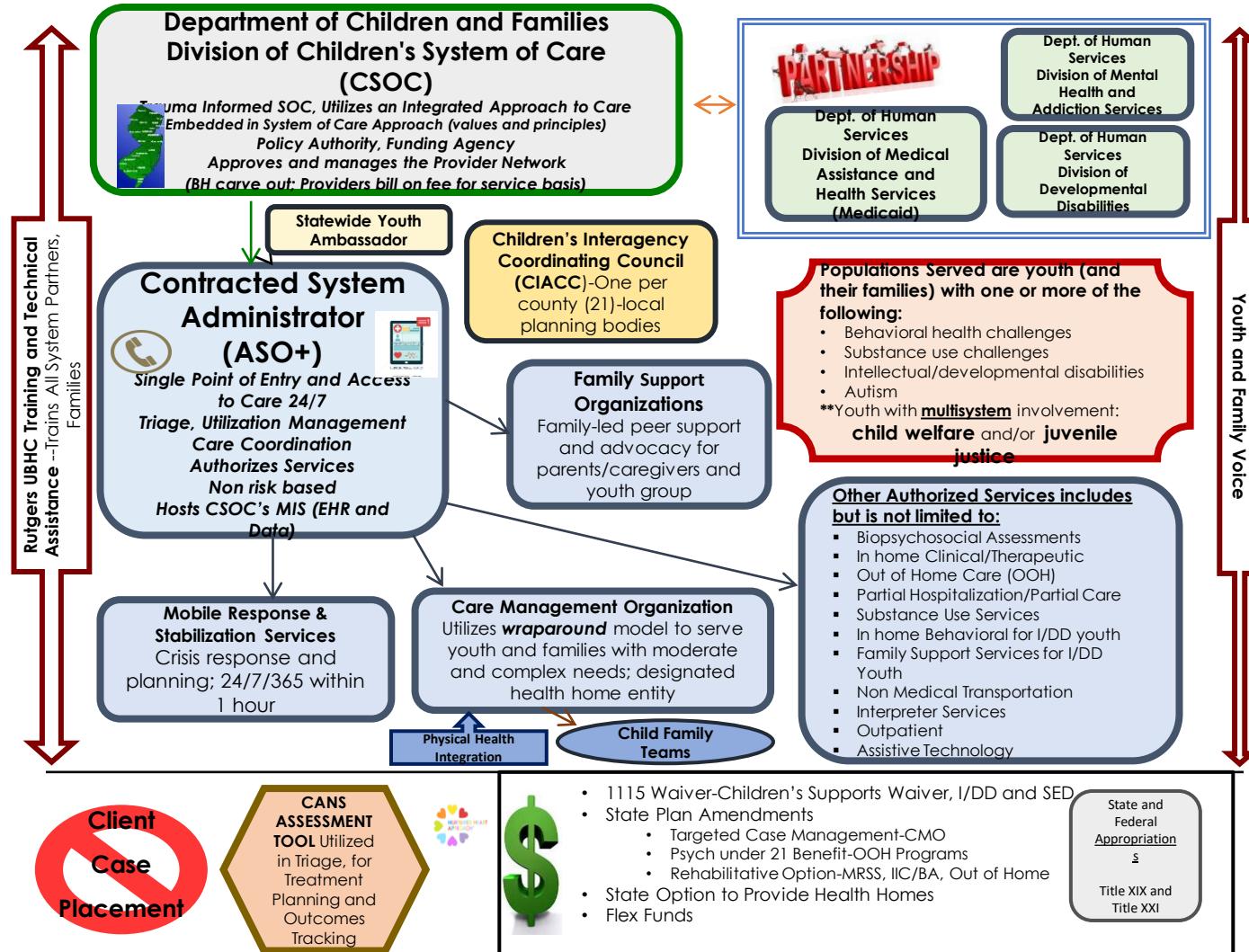
Core Components of a SOC

- Governance
- Youth and Family as Partners
- Single Assessment Tool
- Single Point of Access/No Wrong Door Approaches
- Intensive Care Coordination using Wraparound
- Mobile Response and Stabilization to meet the sense of urgency
- Service array
- Data Collection and CQI Strategies
- Utilization Management Strategies

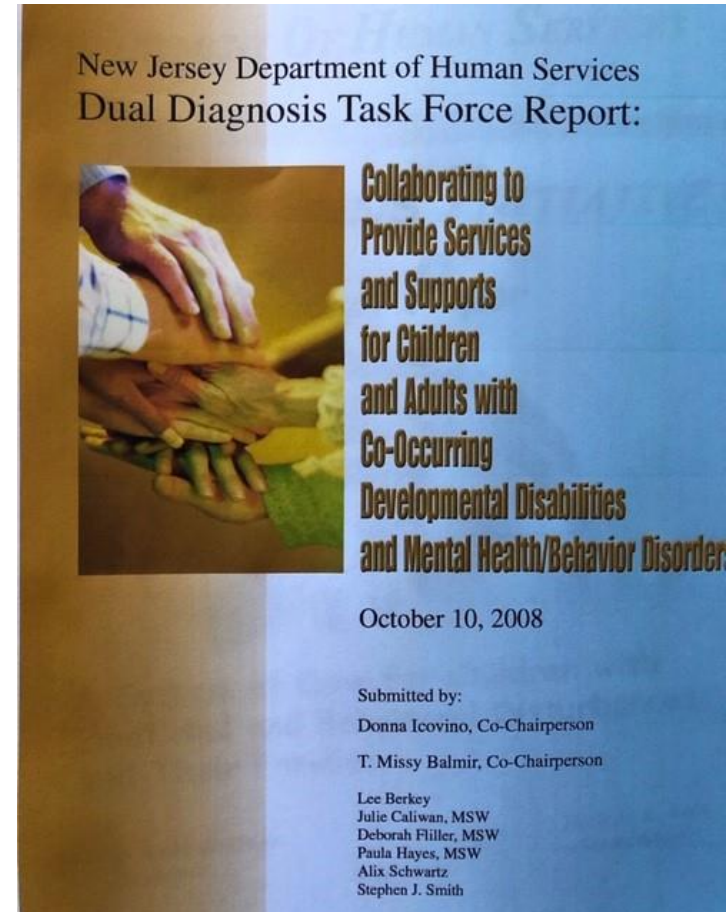
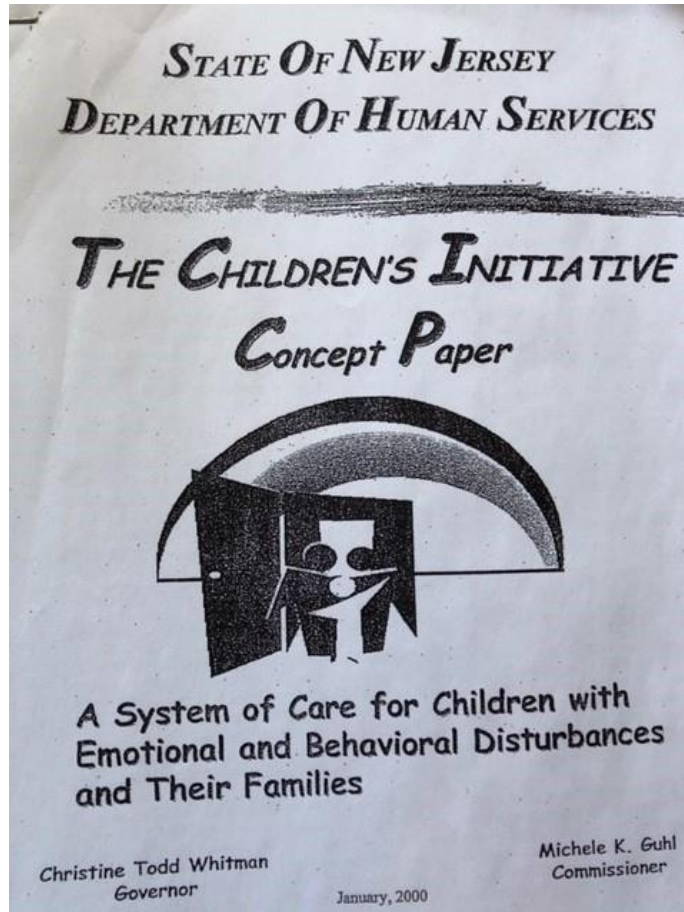
The New Jersey Children's System of Care - CSOC



New Jersey System of Care



Setting the Vision



Summary of the NJ Children's Initiative Concept Paper

In summary, the Children's Initiative concept operates on the following guiding principles:

- The system for delivering care to children must be restructured and expanded.
- There should be a single point of entry and a common screening tool for all troubled children.
- Greater emphasis must be placed on providing services to children in the most natural setting, at home or in their communities if possible.
- Families must play a more active role in planning for their children.
- Non-risk-based care and utilization management methodologies must be used to coordinate financing and delivery of services.

Why Integrate?

Integrating Intellectual/Developmental Disability (IDD) and Mental Health Services:

- Streamline access for families

- Integrate child and family services and supports

- Eliminate duplicative services

- Synchronize service coordination

- Balance resource coordination

- Support sustainability

What is the Same?

Children, youth and young adults

Families

Communication challenges when experiencing disruption

Teams

Schools

Child Protection

Juvenile Justice

Medicaid and third-party payers

Pediatricians

What is Different?

Communication challenges

Medical challenges

Interventions

Eligibility requirements

The individuals who sit around the table

Community engagement strategies

Supports

Payment mechanisms

NJ CSOC Engaging Families in Culturally Competent Care

Public Health Approach

Single Point of Access – With Cultural and Linguistic Competence

Individualized Planning as a Driver to Care

Family Driven

Youth Guided

Focus on Community Engagement





Leadership Challenges

Setting the Vision

Documenting the Vision

Budget Challenges – How do you pay for these services

Communication Strategies

Identifying Early Adopters

Workforce Development – Skills, training and support for the workforce

Important Considerations

Understanding
the Impact on
the Current
System

Parent and Youth
Culture

Provider Culture

Advocates
Culture

Data Use and
Challenges in
Integration

The Challenge of
Quality within
Transition

Privacy

Transition Strategies

Build a team of experts internally – research, read, ask questions

Build a clinical team to provide guidance

Partner with Medicaid

Engage partners in the process

Enhance workforce development efforts – Increase training, mentoring, supervision strategies

Develop an Advisory Group – Put all experts together, included families and community partners – Use the DD Task Force as a guide for transition

Enhance communications strategies – More meetings, presentations, and engagement of community partners through the Children's Interagency Coordinating Councils (CIACC)

Share Data – What we know and we don't know

Expand the capacity of the electronic record

Leadership Strategies, Continued

How to handle uncertainty, ambiguity and rapid change?

Understand and communicate the vision of where we are going. Recall the vision when things get mucky.

Find your champions and engage new partners.

Be transparent to families, providers, staff, and state giving current status and acknowledging challenges.

Share and report progress regularly.

Develop partnerships with family, advocacy, and provider groups and organizations.

Be flexible and acknowledge what we don't know yet.

Meet the Director – Monthly in the community presentations open to all

Newsletters, Frequently Asked Questions updates, websites, and letters to caregivers, providers, and partners

Regulations review and changes

Engage licensing partners

Partner with Division of Developmental Disabilities, child welfare, juvenile justice, and advocates

Meet frequently with parents and advocates to create feedback loops

Address all identified concerns, but look for patterns in the concerns and develop systems to address the patterns, not the outliers

Review data on a regular basis

Transition Strategies, Continued

What We Learned

Youth with IDD are typically thought to not demonstrate improvement – That was not NJ's experience.

Youth with IDD experience trauma and respond to trauma-informed care.

Youth engaged with applied behavioral analysis were shown to have fewer admissions to residential interventions.

Youth in the Autism Spectrum Disorder pilot within the NJ 1115 waiver also benefit from the behavioral health service array.

<https://www.ssw.umaryland.edu/media/ssw/institute/training-institutes/presentation-notes/Institute-No-36-Notes-2.pdf>

Lessons from the Field:

Set the vision
and don't move
away from the
vision

Communicate,
communicate,
communicate

Youth and family
voices are the
drivers to
innovation

The building
blocks to
systems of care
work

Community
engagement and
participation is
essential

It is not a
program, but
systems
transformation

Anything and all
things are
possible, just
look at NJ

NJ Data Points of Interest

- One of the lowest youth suicide rates in the country
- Reduction in daily utilization rate of residential interventions by 65%
- No children with behavioral health needs are severed in an out of state residential
- Increased access to care and reduced over reliance on inpatient care
- Closed the children's state hospital
- Closed one adult correctional facility
- Closed 9 juvenile detention centers and reduced the daily population on probation by 70%
- Increased access to in home supports for children with IDD by 90% within 18 months

The NJ Story of Transformation:

Nationally recognized model for Statewide Children's System of Care

Less children in institutional care

Less children accessing inpatient treatment

Closure of state child psychiatric hospital and RTC's

No children with behavioral health challenges in an out-of-state residential intervention

Children in a residential intervention have more intense needs than prior to the system of care development

Wraparound model works!!

Less youth in detention centers



Questions



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Thank you!

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